

Docket No.: 30320/15635X

(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Tieyu Zheng

Application No.: 10/706,209

Confirmation No.: 5999

Filed: November 12, 2003

Art Unit: 2874

-For: LOW-PROFILE PACKAGE FOR HOUSING

AN OPTOELECTRONIC ASSEMBLY

Examiner: Not Yet Assigned

INFORMATION DISCLOSURE STATEMENT (IDS)

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. Applicant respectfully requests that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is filed before the mailing date of a first Office Action on the merits as far as is known to the undersigned (37 CFR 1.97(b)(3)). Therefore, no fee is required.

Copies of the references on the PTO/SB/08 are not provided.

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing of this Information Disclosure statement shall not be construed to be an

Application No.: 10/706,209 Docket No.: 30320/15635X

admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

Applicant submits this Information Disclosure Statement is in compliance with 37 CFR 1.98 and respectfully requests the Examiner to consider the listed references.

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 13-2855, under Order No. 30320/15635X. A duplicate copy of this paper is enclosed.

Dated: April 14, 2005

·)

Respectfully submitted,

By Marla J. Hudon

Marla L. Hudson

Registration No.: 43,680

MARSHALL, GERSTEIN & BORUN LLP

233 S. Wacker Drive, Suite 6300

Sears Tower

Chicago, Illinois 60606-6357

(312) 474-6300

Attorney for Applicant

APR 18 7005



PTO/SB/08a/b (08-03)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449A/B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of

so to respond to a concessor or incommunity ress it contains a valid control from the				
Complete if Known				
Application Number	10/706,209-Conf. #5999			
Filing Date	November 12, 2003			
First Named Inventor	Tieyu Zheng			
Art Unit	2874			
Examiner Name	Not Yet Assigned			
Attorney Docket Number	30320/15635X			

			U.S. PA	TENT DOCUMENTS	
Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
Initials* No.3	Number-Kind Code ² (if known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear	
		US-4,780,795	10-25-1988	Meinel	
		US-5,337,396	08-09-1994	Chen et al.	
		US-2003/0231671	12-18-2003	Huang et al.	
		US-2004/0012083	01-22-2004	Farrell et al.	
		US-2004/0010910	01-22-2004	Farrell et al.	
		US-2004/0150072	08-05-2004	Schnitt et al.	

	FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No.	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ³ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T°

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Examiner	Date	
Signature	Considered	
	100:100:00	

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.



Search results as of: 03-15-2007::10:46:21 E.T.

This application is officially maintained in electronic form. To View: Click the desired Document Description. To Download and Print: Check the desired document(s) and click StartDownload.

Mail Room Date ↓↑	Document Description *	Page Count	
02-26-2007	Final Rejection	8	
02-26-2007	List of references cited by examiner	1	
02-26-2007	Index of Claims	1	1.0
02-26-2007	Search information including classification, databases and other search related notes	1	
12-13-2006	Fee Worksheet (PTO-06)	1	
11-24-2006	Amendment - After Non-Final Rejection	1	
11-24-2006	Claims	5	
11-24-2006	Applicant Arguments/Remarks Made in an Amendment	3	
08-31-2006	Non-Final Rejection	11	
08-31-2006	List of references cited by examiner	1	
.08-31-2006	Search information including classification, databases and other search related notes	1	
08-31-2006	Index of Claims	1	
06-15-2006	Index of Claims	1	
06-15-2006	Fee Worksheet (PTO-06)	1	
06-07-2006	Response to Election / Restriction Filed	1	1
06-07-2006	Claims	5	
06-07-2006	Applicant Arguments/Remarks Made in an Amendment	2	
05-15-2006	Requirement for Restriction/Election	5	
05-15-2006	Index of Claims	1	
02-17-2006	Fee Worksheet (PTO-06)	1	
02-17-2006	Claims Worksheet (PTO-2022)	1	
02-16-2006	Terminal Disclaimer Approval form used within the USPTO	1	
02-08-2006	Amendment - After Non-Final Rejection	1	
02-08-2006	Claims	6	
02-08-2006	Applicant Arguments/Remarks Made in an Amendment	1	
02-08-2006	Terminal Disclaimer Filed	1	
11-30-2005	Non-Final Rejection	8	
11-30-2005	List of references cited by examiner	1	

M	
الإنسا	2
• •	-

l .			
11-30-2005	Search information including classification, databases and other search related notes	1	
11-30-2005	Index of Claims	1	
04-18-2005	Miscellaneous Incoming Letter	1	
04-18-2005	Information Disclosure Statement (IDS) Filed	4	
02-27-2004	Information Disclosure Statement (IDS) Filed	6	
02-27-2004	NPL Documents	7	
02-27-2004	NPL Documents	. 51	
02-27-2004	NPL Documents	4	
02-27-2004	NPL Documents	5	
02-27-2004	NPL Documents	8	
02-27-2004	NPL Documents	4	
11-12-2003	Transmittal of New Application	4	
11-12-2003	Authorization for Extension of Time all replies	4	
11-12-2003	Specification	15	
11-12-2003	Claims	5	
11-12-2003	Abstract	1	
11-12-2003	Drawings	2	
11-12-2003	Oath or Declaration filed	3	
11-12-2003	Fee Worksheet (PTO-06)	1	
11-12-2003	Fee Worksheet (PTO-06)	1	

Close Window